

Policy created by	Operational staff
Policy reviewed by	Compliance Head
Approval authority	Board of Directors
Periodicity of Review periodicity	As and when any changes are introduced by any statutory authority or as and when it is found necessary to change the policy due to some eventuality
Officer responsible for implementation	Compliance Officer
Policy reviewed on	April 6, 2020
Policy approved by Board on	April 7, 2020

**Objective:**

It is observed that unauthentic news circulation disturbs price movements in particular scrip. It can result into losses to innocent people. It is also against the high standards of integrity adhered by the company while in the business since decades of years. Hence, there is a need to frame policy on unauthentic news circulation.

**Policy:**

1. Our dealers and entire staff members are answerable on compliance matters to the compliance officer.
2. Stringent staff recruitment procedures will be followed.
3. All the Head of Departments (HODs) are working with us since almost inception of the company or even before.
4. We will not indulge into any proprietary trading in the company.
5. We have documented Insider Trading Policy.
6. No client will be induced for trading.
7. Clients are advised to rely on only news that is in public domain and we do not rely or spread on unauthentic news.

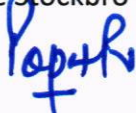
8. Employees / temporary staff / voluntary workers etc. employed / working in the Offices should not encourage or circulate rumors or unverified information obtained from client, industry, any trade or any other sources without verification.
9. Access to Blogs / Chat forums / Messenger sites etc. will be restricted and will be under supervision of Departmental Heads.
10. Logs for any usage of such Blogs / Chat forums / Messenger sites (called by any nomenclature) shall be treated as records and the same should be maintained as specified by the respective Regulations.
11. Employees are hereby directed that any market related news received by them either in their official mail / personal mail / blog or in any other manner, should be forwarded only after the same has been seen and approved by the Compliance Officer.

The Policy shall be implemented with immediate effect.

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For & Behalf of

Unique Stockbro Private Limited



Paresh V. Popat  
Director



Ashish V. Popat  
Director



Date: April 6, 2020

**End of Report**

*Disclaimer: Policy for Unauthentic News Circulation as depicted above are subject to change from time to time having regard to change in circumstances & regulatory guidelines. This Policy for Unauthentic News Circulation shall be reviewed periodically in accordance with regulatory guidelines and management perception.*